

Decision of the Disciplinary Committee

Defendant's Name : Undisclosed ("Candidate")
Date of Hearing : Monday, 14 October 2024

On 14 October 2024, the Disciplinary Committee of the Asian Institute of Chartered Bankers (AICB) heard the following allegations against an examination candidate. The Candidate attended the Disciplinary Hearing.

Allegations

On 5 May 2024, a candidate of the Investor Protection Professional Certification (IPPC) online examination committed the following actions:

- I. Use of unauthorised materials and items – The Candidate used a mobile phone during the examination. This was visible in the video recording at 8 different times during the examination.
- II. Obtaining examination questions and/or answers from third parties – The Candidate attempted to obtain answers from a third party. This was heard in the video recording, based on the timestamps provided in the transcript.

The Candidate has been proven to have breached the following regulations:

- I. AICB Membership and Qualification Regulations:
 - Q6.1.6 (vii) – Candidates are not allowed to access electronic devices such as mobile phone
 - Q6.1.6 (xviii) - Candidates must not allow other individuals to see the computer screen
 - Q6.1.6 (xix) - Candidates must not read the questions aloud and must not cover their mouth or attempt to hide their face or move it out of view of the webcam or the Invigilator.
 - Q6.1.7 - Candidates are not allowed to collate, record, or discuss any or all the examination questions or answers seen or viewed during the examination with anyone at all times, including after the examination.
 - Q9.2.1 (vii) - Cheating is breaking examination rules or committing any activities that will give advantage to the Candidates who are or will be taking an examination.
- II. AICB's Code of Professional Conduct:
 - 5.1 Responsibility
 - 5.2 Integrity
 - 5.6 Confidentiality



Sanctions

The Disciplinary Committee considered the sanctions in accordance with AICB Bye-Law 58 and ordered the following:

- a. The Candidate be reprimanded;
- b. The Candidate is dismissed from IPPC for a period until she is readmitted by Financial Markets Association of Malaysia (FMAM);
- c. That the result of IPPC examination taken on 5 May 2024 be declared void; and
- d. The Candidate must complete an appropriate ethics programme should he/she wishes to enrol into any of AICB's programmes in future.

The Disciplinary Committee agreed to publish the decision in accordance with AICB Bye-Law 68 and requested that the Candidate's name be withheld.

The order will come into effect upon the expiry of the appeal period. The Candidate did not appeal the decision of the Disciplinary Committee within the appeal period referred to in the Disciplinary Regulations.